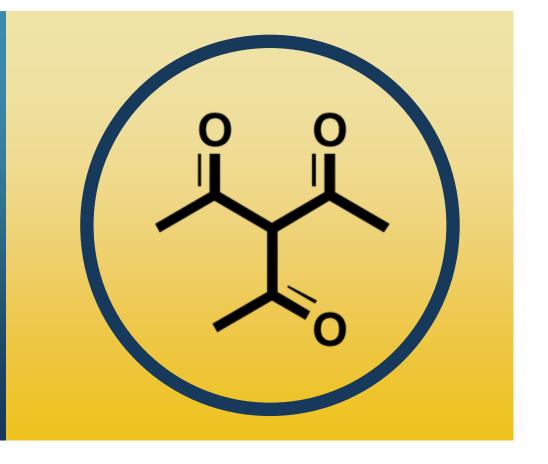
DOE Bioenergy Technologies Office (BETO) 2021 Project Peer Review

Design and Development of Bio-Advantaged Vitrimers as Closed-Loop Bioproducts



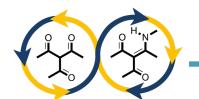
Mar 10, 2021 Technology Area Session

UC Berkeley: Jay Keasling & Kristin Persson

Lawrence Berkeley National Lab: Brett Helms, Tom Russell, Corinne Scown



This presentation does not contain any proprietary, confidential, or otherwise restricted information



Bio-

Manufacturing

Project Overview

Polymerization

Polymers



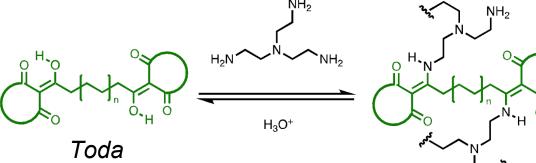
Vision

Feedstocks

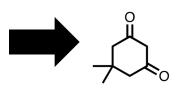
Advancing Beyond State-of-the-Art

Triketone Monomers

Infinitely Recyclable Polydiketoenamines

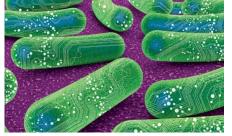






Limited Functionality

Future







Bio-Advantaged Functionality

Chemical Recycling

Circular **Bio-Economy** of Plastics

Monomers





Project Overview



Potential Impacts

Potential Risks





Poor scalability of either bio- or chemical synthesis processes

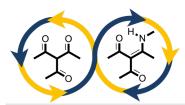


Bio-Monomers fail to deliver market-differentiating performance advantages





Minimum selling price too high for widespread adoption in the market



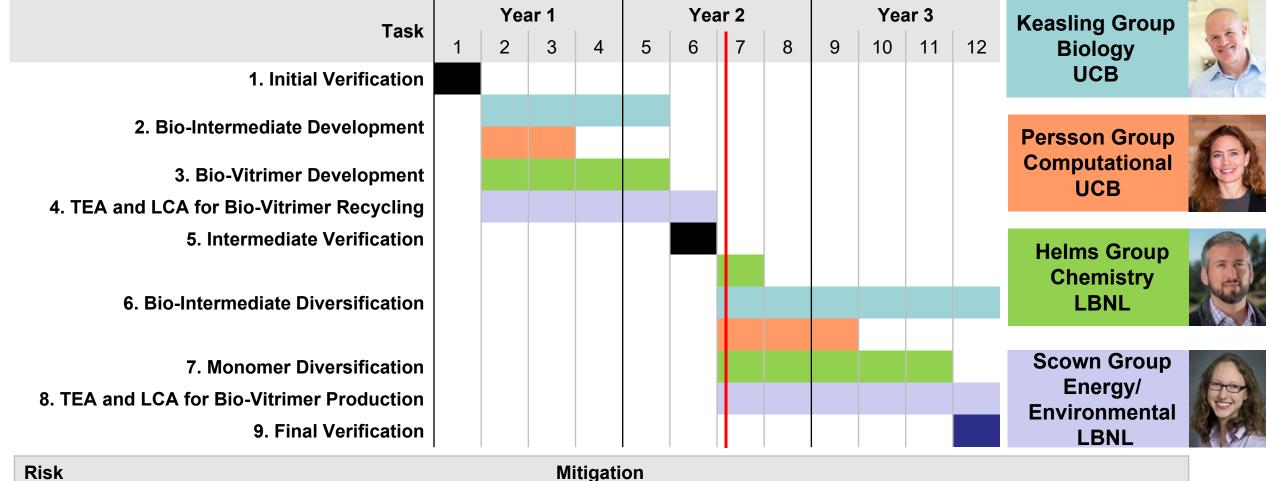
Scalability of chemically recyclable polymer bio-products.

Market adoption as a performance-advantaged and

sustainable bio-product.

1 - Management





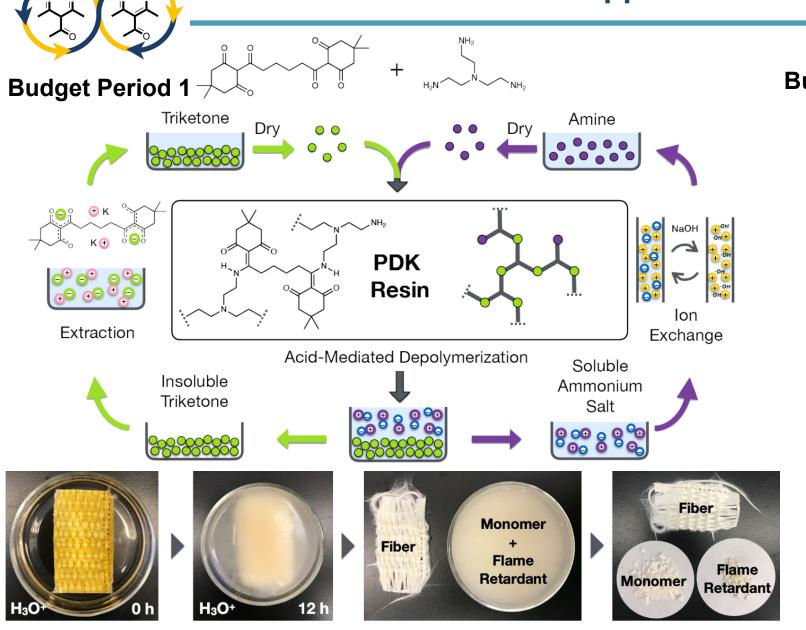
Techno-economic analysis and life-cycle assessment of key processes.

Work with industry to tailor performance for specific uses. Demonstrate

biosynthetic route to key feedstocks and minimize losses in recycling.

2 - Approach





 NH_2 **Budget Period 2 Bio-Synthesis** R_1 and R_2 **Targets** R_2 **Dictate PDK** Resin R **Properties** Objective: Co-Design Bio-

PDK Resins for Properties

AND Chemical Recycling

Helms et al. Nat. Chem. 11, 442 (2019)

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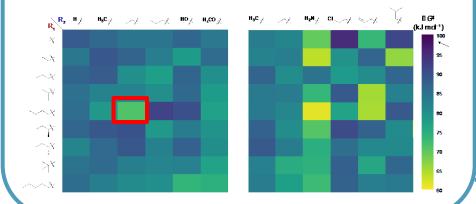




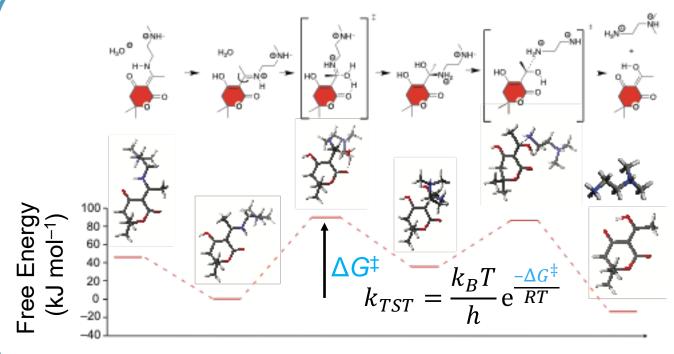


Diketoenamine Hydrolysis in Acid Unlocks Chemical Recycling

HT Screens Predict Variants with Most Favorable Recycling Rates



Compute Energetics for Hydrolysis



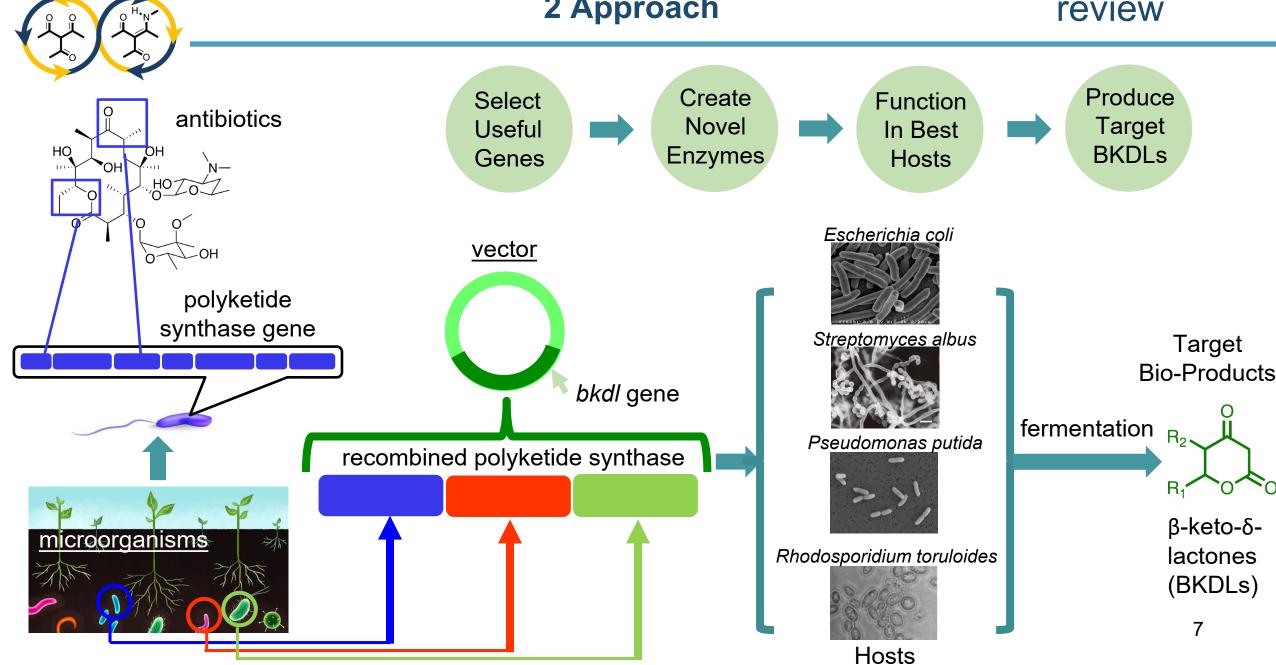
Reaction Coordinate

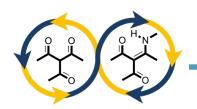
Validate with Experiment

Recommend Specific BKDLs for Bio

2 Approach

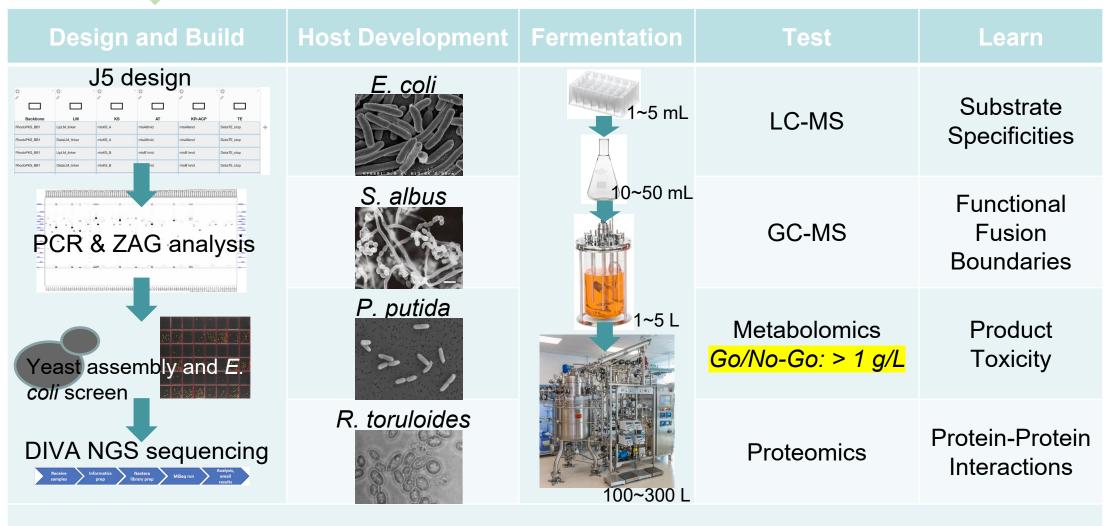


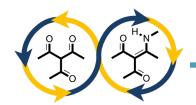




2 – Approach



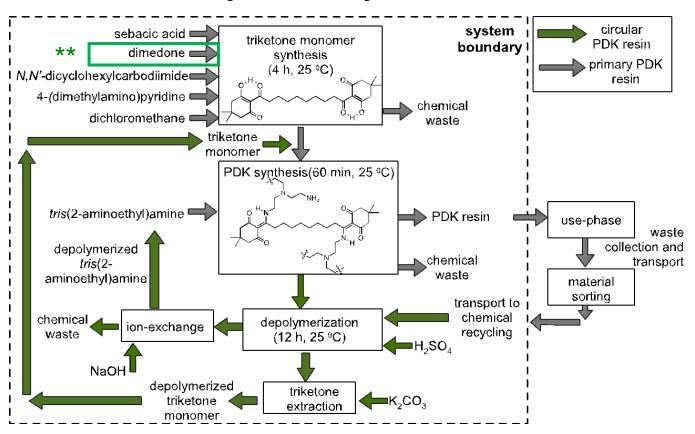




2 – Approach

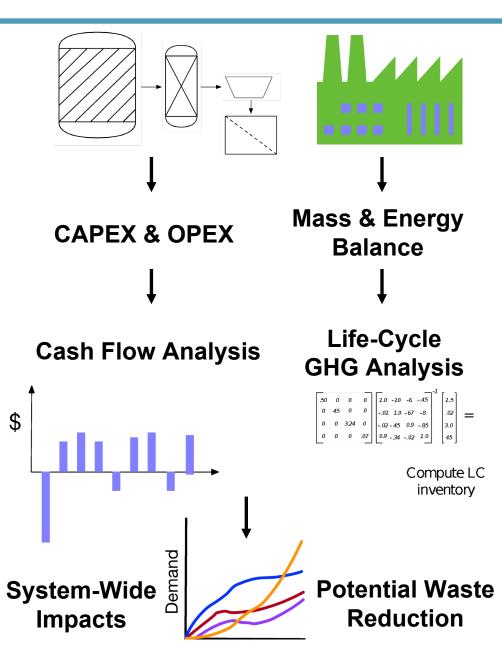


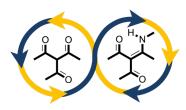
Baseline Chemistry for PDK Synthesis



^{**} Bio-Based BKDLs are the focus of Budget Period 3

Source: Vora et al. in revision.

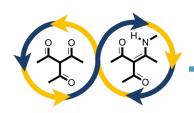




3- Impact



Need	Impact of Chemistry Development
Low intensity processes for chemically recycling polymers to monomer	Depolymerization of PDK resins at ambient temperature in strong acid, as we have demonstrated, provides significant energy savings by comparison to polymer deconstruction by pyrolysis. Lifecycle GHG emissions for circular PDKs, as we have demonstrated, are orders of magnitude lower than primary resin production, highlighting value of circularity in sustainable manufacturing.
Low loss processes for refining monomers	Lossless recovery of monomers, as we have demonstrated is possible with PDKs, is atypical by comparison to commodity polymers.
High bio-content in circular polymer resin	Responds to market pull for bio-based sustainable polymers
Bio-Advantaged performance	Showcases unique and high value for bio-products over conventional feedstocks
Scalable and low cost processes for monomer and resin production	De-risks commercialization prospects for the platform to meet market demand for industrial end uses



3- Impact: Bio-Advantaged Products



Biosynthesis
lowers intensity
of feedstock
production and
refinement and
enables
resilience in
manufacturing
supply chains

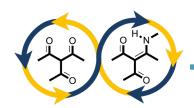


High-throughput DNA assembly

Proper hosts for biosynthesis

A platform for design and building *bkdl* genes & testing in high-throughput.

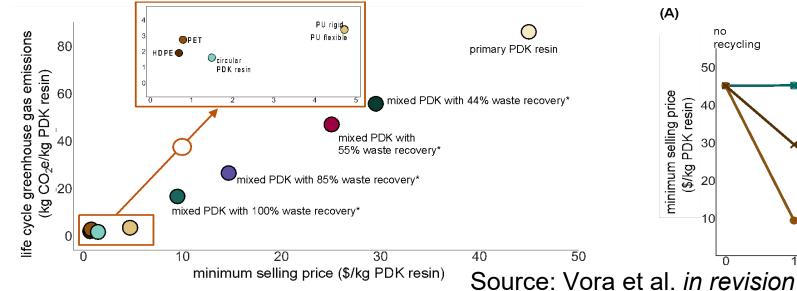
Host optimization for biosynthesis of diverse polyketide products

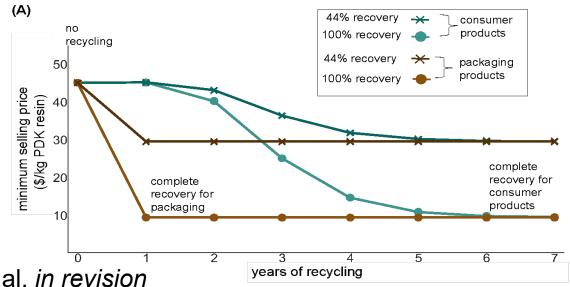


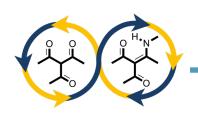
3- Impact: Bio-Advantaged Products



Need	Impact of TEA & LCA
Prioritize process development research	Identify key solvents, reagents, catalysts, and processes with high costs or GHG emissions, as well as byproducts with hazardous waste implications
Infrastructure needs	Determine system-wide recovery rates necessary to hit cost & GHG targets
Selection of use cases	Identify use-cases with sufficient recovery potential
Prioritization of PDK properties	Identify resins and composites that meet target product specs, but also minimize losses in recycling for resource recovery (monomers, fillers, etc.)









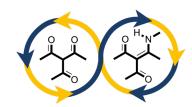
Sub-Task Progress	Outcome
Budget Period 2: Screen >100 γ , δ substituted BKDLs for hydrolysis energy barrier	 Screened 108 BKDLs varying in R₁ and R₂ Predicted a strong effect on the hydrolysis energy barrier, up to 40 kJ mol⁻¹ Significance: Recycling rates can be controlled by choice of R₁ and R₂
(Ahead of) Budget Period 3: Screen >100 γ , δ substituted BKDLs for amine-bond exchange energy barrier	 Screened 16 BKDLs substituted at R₁ and R₂ Predicted a negligible effect on the aminebond exchange energy barrier, < 5 kJ mol⁻¹ Significance: Energetics of re-processing PDKs is low and not strongly dictated by R₁ and R₂

Screens for Post-Consumer Chemical Recycling to Monomer

$$R_2$$
 R_1
 R_2
 R_1

Screens for Post-Industrial Recycling via Scrap Recovery

$$R_2$$
 R_1
 R_2
 R_1
 R_2
 R_1
 R_2
 R_3
 R_4
 R_4
 R_4
 R_5
 R_6
 R_7
 R_8

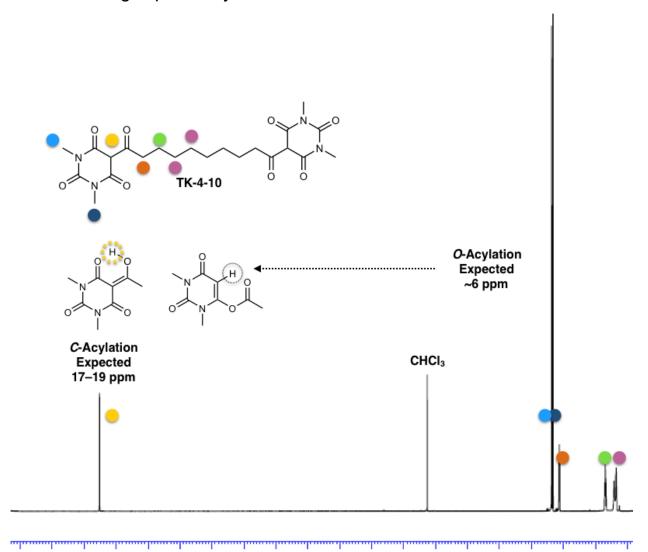




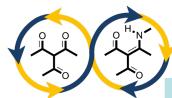
Milestone 3.1.1: Demonstrate 50-g batch of triketone biomonomers: 100% C- vs. O-acylation

LBNL condenses 2 TKs or BKDLs with ≥3 diacids, must be regiospecific by NMR

				R_2 R_1 O O
Adipic Acid (n=0)	90% (<20-g scale; discovery chemistry)	N.D.	91% (<20-g scale; LCA- informed chemistry)	N.D.
Suberic Acid (n=1)	93% (<20-g scale; discovery chemistry)	N.D.	90% (<20-g scale; LCA- informed chemistry)	N.D.
Sebacic Acid (n=2)	91% (50-g scale; discovery chemistry)	84% (<20-g scale; discovery chemistry)	85% (150-g scale; LCA- informed chemistry)	65%* (<20-g scale; discovery chemistry)



^{*} unoptimized yields





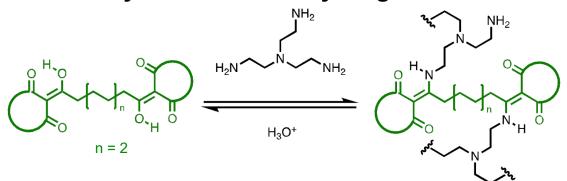
Milestone 3.2.1: Demonstrate a 25-g vitrimer batch size with >25% biomass content and <3% VOC content

Milestone 3.4.1: Demonstrate chemical depolymerization molded vitrimer substrates ≥1 g

Polymerization of biomass-derived triketone and amine monomers at LBNL, show <3% mass loss at 150 °C by TGA

LBNL chemically recycles >10 vitrimers with 0–30% w/w filler, >90% TK recovery in >90% purity by NMR

Bio-Vitrimer Synthesis and Recycling

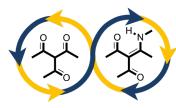


	O O R	PR PR	O O R	R_2 R_1 R_2 R_1 R_2 R_3
Synthesis	Scale: 25 g VOC: 0.61% Bio-content: 81%	Scale: 2 g VOC: 0.03% Bio-content: 81%	Scale: 25 g VOC: 0.01% Bio-content: 82%	Scale: 2 g VOC: 0.5% Bio-content: 83%
Recycling	Scale: 5 g TK Yield: 92% Purity: 100%	Scale: 2 g TK Yield: 99% Purity: 96%	Scale: 5 g TK Yield: 88% Purity: 100%	Scale: 2 g TK Yield: 96% Purity: 96%

Bio-Vitrimer Composite Recycling 5.0 M HCI -[TREN + m H]^{m+} m = 1-4

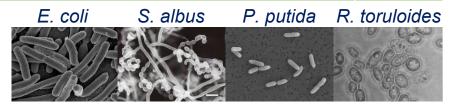
+ Filler

C	arbon Blac	:k		Silica	
10% w/w	20% w/w	30% w/w	10% w/w	20% w/w	30% w/w
Scale: 1 g TK Yield: 72% Purity: 100%	Scale: 1 g TK Yield: 87% Purity: 100%	Scale: 1 g TK Yield: 90% Purity: 100%	Scale: 1 g TK Yield: 90% Purity: 100%	Scale: 1 g TK Yield: 83% Purity: 100%	Scale: 1 g TK Yield: 90% Purity: 100%





Q4	M2.4.1	UCB reports titer, rate, and yield (TRY) from 50 mL shake flasks in three hosts. Report the best host based on these parameters.	V
BP2	Go/No- Go	Demonstrate a titer of > 1 g/L of β-keto-δ-lactones (BKDLs) in an optimized strain at > 1 L scale in fed-batch fermenter.	V

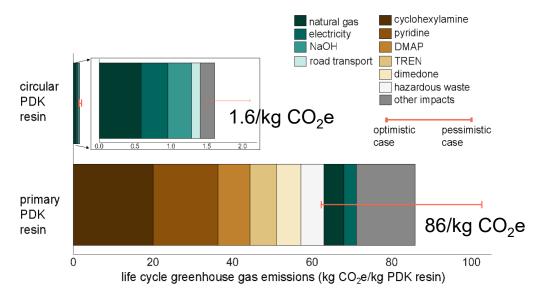


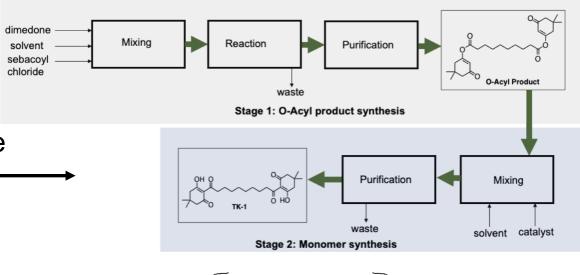
Task 2.1: DNA Design And Assembly ➤ ~ 45 % success rate in DNA assembly	Introduce bkdl genes	$\sqrt{}$	\checkmark	×	$\sqrt{}$
Task 2.4: Host Selection And Strain Development ➤ ~ 50 % success rate in bkdl integrations	Screen different species	$\sqrt{}$	$\sqrt{}$	×	×
	Integrate <i>sfp</i> for polyketide synthase function	$\sqrt{}$	×	$\sqrt{}$	\checkmark
	Integrate precursor pathways for providing building blocks	$\sqrt{}$	×	$\sqrt{}$	×
	Knock-out degradation pathways for products accumulation	$\sqrt{}$	×	×	×
Task 2.5: Host Selection And Strain Development ➤ 4 g/L BKDL production with cellulosic sugars ➤ 0.09 g / g cellulosic glucose	Titer of BKDLs production	0.77 g/L √	78 mg/L $\sqrt{}$	NA	4.27 g/L √

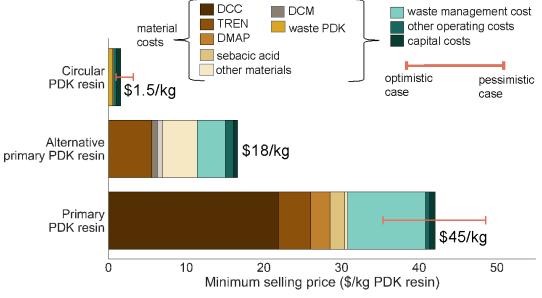




- Technoeconomic analysis & life-cycle GHG assessment of baseline PDK synthesis
- TEA/LCA-informed development of alternative DCC and DMAP-free chemistry
- Preliminary analysis of BKDL production from cellulosic sugars







Source: Vora et al. in revision

Summary





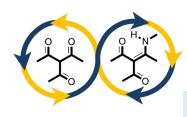
1. Management: PI Keasling manages the project. Keasling Group, Persson Group, Helms Group and Scown Group take specific responsibilities in Tasks and Milestones. Risks on commercialization can be mitigated by bioproduction and circularity of vitrimers.

2. Approach:

- Identify BKDL targets via screens of hydrolysis activation barrier for using DFT and MD
- Close the loop in Design–Build–Test–Learn for BKDL production with high-throughput platform.
- Integrate BDKLs into Bio-Based PDK resins and validate predictions for performance and recyclability.
- Model of process chemistry and assess impact of bio-products on sustainability targets for circularity
- 3. Impact: Vitrimers can be synthesized from sustainable resources with a reduced environmental impact. Vitrimers can be predicted and designed to be recyclable and non-toxic. Techno-economic analysis and life-cycle assessment informs best path to commercialization.

4. Progress and Outcomes:

- Demonstrated PDK vitrimer production with >80 % bio-content and >95% resource recovery.
- Demonstrated the engineering of microorganisms to produce 4.27 g/L BKDL feedstocks.
- Built model for baseline vitrimer synthesis and preliminary analysis of BKDL production.
- Built model for prediction of polymerization and depolymerization of PDK vitrimers from BKDLs.



Quad Chart Overview



Timeline

- 07/01/2019
- 06/30/2022

	FY20 Costed	Total Award
DOE Funding	\$351,839	\$1,017,861
Project Cost Share	\$64,658	\$499,466.00

Project Partners*

Lawrence Berkeley National Laboratory

Project Goal

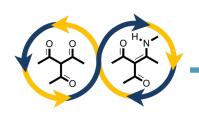
Design and develop infinitely recyclable and therefore closed-loop polymeric bio-based materials, specifically focusing on a new class of polymers called vitrimers that combine the processing and recycling ease of thermoplastics with the performance advantages of thermosets.

End of Project Milestone

- Demonstrate 1g/L of C6 diacid in fed-batch fermenter.
- Demonstrate PDK vitrimer platform technology readiness wrt formulation and circularity: both chemical recyclability and scrap recovery for 10-g vitrimer samples with >75% biomass content, <1% VOC content, 0–30% w/w filler.

Funding Mechanism

DE-FOA-0001916, Topic 3a. Performance Advantaged Bioproduct Identification



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Additional Slides